

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/468,898
Filing Date 12/10/99
First Named Inventor Bertram
Examiner Name Michael J. Molinari
Group / Art Unit 2665
Attorney Docket No. DNA/038

TOTAL AMOUNT OF PAYMENT (\$) 860

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number 60-1318
- Deposit Account Name DIVA Systems Corporation
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	750
105	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 750)

2. EXTRA CLAIM FEES

Total Claims 20 ** = 0 X Fee from below = 0

Independent Claims 3 ** = 0 X Fee from below = 0

Multiple Dependent Claims X Fee from below = 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,260	241	630	Petition to revive - unintentional	
142	1,290	242	645	Utility issue fee (or reissue)	
143	480	243	240	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 110)

SUBMITTED BY

Complete if applicable

Name (Print/Type)	Eamon J. Wall	Registration No. Attorney/Agent	39,414	Telephone	(732) 530-9404
Signature	<i>E. J. Wall</i>	Date	2/14/03		

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TELEFAX COVER SHEET

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THIS MESSAGE HAS 16 PAGES INCLUDING THIS SHEET

TO: Commissioner of Patents
FAX NO.: 703-872-9315
FROM: Eamon J. Wall
DATE: 2/14/03
MATTER: Serial No. 09/458,896 Filed: 12/10/99
DOCKET NO.: DIVA/038
APPLICANT: Bertram et al.

The following has been received in the U.S. Patent and Trademark Office on the date of this facsimile:

☐ Petition
☐ Disclosure Statement & PTO-1449
☐ Priority Document
☐ Drawings (sheets) informal
☐ Amendment
☒ CPA REQUEST TRANSMITTAL/EXTENSION
REQUEST
☒ RECEIPT FOR FACSIMILE TRANSMITTED
CPA

☐ Transmittal Letter (2 copies)
☒ Fee Transmittal (2 copies)
☒ Deposit Account Transaction
☒ Facsimile Transmission Certificate
dated 2/14/03
☒ Preliminary Amendment

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.6

I hereby certify that this correspondence is being transmitted by facsimile to the Assistant
Commissioner for Patents, Washington, DC 20231 on 2/14/03
Facsimile No. 703-872-9315

Carol Wilson
Name of person signing this certificate

Carol Wilson 2/14/03
Signature and date

PTO/SB/29A (08-00)

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1. ADDRESS

Applicant's Mailing Address for this receipt must be CLEARLY PRINTED or TYPED in the box below.

MOSER, PATTERSON & SHERIDAN, LLP
596 Shrewsbury Avenue, Suite 100
Shrewsbury, New Jersey 07702

RECEIPT FOR FACSIMILE TRANSMITTED CPA

(To accompany a request for a
Continued Prosecution Application
(CPA) under 37 CFR 1.53(d) filed by
facsimile transmission)

NOTE: By this receipt, the USPTO (a) acknowledges that a request for a CPA was filed by facsimile transmission on the date stamped below by the US PTO and (b) Verifies only that the application number provided by the applicant on this receipt is the same as the application number provided on the accompanying request for a CPA. This receipt CANNOT be used to acknowledge receipt of any paper(s) other than the request for a CPA.

2. APPLICATION IDENTIFICATION:

(Provide at least enough information to identify the application)

a. For prior application

Application No: 09/458,896
Filing Date: 12/10/99
Title: METHOD AND APPARATUS FOR INJECTING INFORMATION ASSETS INTO A CONTENT STREAM
Attorney Docket No: DIVA/038
First Named Inventor: Bertram

b. For instant CPA application

New Attorney Docket No: _____
(if applicable)

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